## **Crime Prevention, Security and Community Safety using the 5Is Framework**

## Paul Ekblom

## **Detailed list of contents**

	Page
List of figures	vi
List of boxes	vii
Acknowledgements	viii
Series Editor's Preface	ix
Chapter 1 Introduction	1
The harvesting of knowledge	1
The book in outline	6
Chapter 2 Implementation failure: the dismal story	11
Introduction	11
The sad fact of implementation failure	12
The manifestations of implementation failure	13
Consequences of implementation failure	13
How long is a piece of string? Some causes of implementation failure	14
'Mundane' causes	14
Organisational and inter-organisational causes	15
Limitations in professional resources	16
Summary	17

Chapter 3 Implicated Ignorance and Culpable Confusion: the contribution to implementation failure of deficient knowledge and articulacy	
Limitations in criminological knowledge	19
Deficiency of coverage	19
Know crime	20
Know about crime problems	20
Know what works and know-what harms	20
Know who, when and where	22
Know why	22
Know how to put into practice	22
Tacit knowledge	23
Fragmentation	24
Terminological and conceptual inadequacy	25
Structure of action	27
Levels of causation	28
Understanding the confusion	29
Consequences of limitations in knowledge	30
Summary	32
Chapter 4 The gift to be simple? How avoiding the issue of complexity contributes to implementation failure	34
Simplicity	34
Intrinsic simplicity	35
Simplicity, politics and policy	35
Simplicity and the public	36
Simplicity and practice	36
Some manifestations of simplicity in Situational Crime Prevention/ Problem-Oriented Policing and beyond	37
Simplicity – an ideal vision?	38
Can simplicity alone deliver?	39
Confessions of complexity	39
The complexity conundrum	40
Complication	41
Complexity	42
Summary	45

Page

Chapter 5 Appropriate complexity	46
From diagnosis to remedy	46
Adapting crime prevention to appropriate complexity: Application of design	
Helping theory engage with complexity	48
Limitations of theory	49
Making the most of theory	50 50 54 55
Mechanisms	
Context and mechanism at large	
Improving process models	
Selection	56
Replication	56
Innovation	57
Efficient knowledge management	58
Ensuring knowledge and theory frameworks are learning engines Articulating complexity Extracting tacit knowledge by improving our terminology	59
	6
Summary	63
Chapter 6 Specification for a knowledge framework	65
	66
Purpose of framework	66
Scope of framework	66
Kinds of knowledge	66
Operational level of action	66 67
Scales of analysis and action	
Institutional settings	67
The political and emotional dimension	67
Crime types	67
Preventive methods and approaches	68
Theoretical orientations, causal levels and approaches to risk	68
Harms and benefits  Action oriented and descriptive	68
Action-oriented and descriptive	68
Evaluative	68

Page

	Page
Knowledge management requirements	69
The basics	69
Beyond the basics	69
Theory	70
Handling the complexity of crime prevention	72
Communication and collaboration	73
Model of preventive process	75
Selection, replication and innovation requirements of process model	75
Performance assessment and evaluation	77
Types and dimensions of evaluation	77
Specification of framework in terms of evaluation	78
Evaluation and practice	79
Evaluation and research	79
Education, training and guidance	80
Organisations and organisation development	81
Knowledge management roles	81
Conclusion	82
Chapter 7 Introducing the 5Is Framework	83
Historical background: stop-start	83
•	85
5Is introduced	
What and who is 5Is for? A functional definition	88
5Is for practitioners	88
5Is for programme delivery managers	88
5Is for policymakers	89
The politics and governance levels	90
International application	90
What are the distinctive features of the 5Is framework?	91
Process with purpose	91
Flexibility in the order of tasks	91
Language-like description	92
Clear terms and concepts	93
Explicit not tacit	93
Relating to evidence throughout	93
Progressive detail	93

	Page
Context-sensitive	94
Capturing tradeoffs, issues and interactions, not just decisions	94
Analytic and theory-oriented yet practice-oriented	95
Reflecting natural structures and units of action	96
Covering a wide range of aspects of knowledge	97
Concerned with harm and its reduction	97
Inclusive	97
Quality-oriented	98
Learning engine	98
Alert to adaptive offenders, changing crime and changing contexts	99
Schema for practitioners	99
Supporting a range of knowledge formats and superstructures	99
Conclusion	100
Chapter 8 Conceptual companions to 5Is: Defining crime prevention	102
activities, institutional contexts and values	
Historical background: muddling through	103
Resolving the muddle	105
Crime: conceptual and institutional issues	106
Criminality	107
5Is orientation to crime	107
Crime Prevention: conceptual, institutional and value issues	108
Relation to enforcement and criminal justice	109
Harm reduction – safety and security	111
Public, private and personal spheres	111
Vision, values and orientation within crime prevention	112
Position of 5Is on definitional, institutional and value issues	113
Crime prevention and family: 'activity' definitions designed for 5Is	114
1. Crime prevention proper	114
2. Crime reduction	117
3. Crime control	117
4. Security / Risk management	118
5. Community safety	119
6. Maintenance of civil society	121
Crime prevention and family – descriptions of institutional settings designed for 5Is	122

	Page
Judicial, civil and parajudicial settings	123
Institutional settings and the organisation of preventive action	125
5Is and policing	127
A very British conundrum: POP, ILP, NIM, PBM, SARA and 5Is	128
Community in crime prevention	131
Conclusion	132
Chapter 9 A companion framework for causes of crime and preventive interventions: the Conjunction of Criminal Opportunity	133
Introduction: background, intellectual origins and nature of CCO	134
Historical background: sado-masochism	134
Nature of CCO and suitability for 5Is framework	136
CCO causal components: agents and entities	137
Agents	137
Entities	139
The offender	139
Twin perspectives	141
CCO interventions	141
Situational interventions	143
Offender-oriented interventions	144
CCO – wider considerations	145
Where CCO and 5Is overlap: preventers and promoters, Intervention and Involvement	145
Interventions and contexts	146
CCO and institutional settings	146
CCO and the 25 techniques of situational prevention	147
CCO and the Crime Triangle	149
Remote interventions	149
CCO and dynamics	150
CCO, emergence and complexity	152
Conclusion	153
Chapter 10 Presenting the Is in detail	156
Introduction	156
Alternative formats and structures	156

	Page
Hierarchy	157
The headings	158
Kinds of information to record under the headings – the Meat	159
Main content	159
Recurrent themes	159
Evidence	160
Structure of the 'I' chapters	161
Sources of 5Is illustrations	161
Ch 11 Intelligence	163
Introduction	163
Defining Intelligence	164
Intelligence and evidence	164
The purposes of reporting Intelligence in action descriptions	165
Undertaking the Intelligence task	166
The content of Intelligence	167
Illustrative examples	167
The crime context	`167
Initiation and demand	169
The crime problem, evidence of the problem and know-how in collection and analysis	170
Community safety and security: significant harmful consequences of the crime problem/s	172
Causes and risk/protective factors of the crime problem	173
Initial aims and aim-setting	176
Intelligence: master list of headings	177
Ch 12 Intervention	181
Introduction	181
Defining Intervention	181
The language of Intervention: principles, methods and mechanisms	182
Customisation to context	183
The role of context in more detail	183
Intervention and evidence	184
The process of undertaking the Intervention task: the importance of design	185
The purposes of reporting Intervention in action descriptions	187

	Page
The structure and content of descriptions of Intervention/intervention	188
Describing the Intervention task	188
Describing individual interventions	189
The content of Intervention	190
Prior action	190
Overall intervention strategy	191
Individual interventions	191
The organisational context and working structure of interventions	199
Intervention: master-list of headings	201
Chapter 13 Implementation	205
Introduction	205
Defining Implementation	205
Implementation and Intervention	206
Implementation and Involvement	206
The organisational context for implementing interventions: the concept of capacities	207
The language and concepts of Implementation	207
The process of undertaking the Implementation task – the significance of management	210
Implementation and evidence	212
Implementation methods and mechanisms	212
The purposes of reporting Implementation in action descriptions	212
The structure and content of descriptions of Implementation	213
The content of Implementation	214
The institutional and organisational contexts	214
Infrastructure	215
Mode of delivery: project or service	215
Targeting	215
Tailoring	217
Lifecycle/s of action	217
The basic execution process of Implementation	219
Management, planning and organisational issues	223
Implementation: master-list of headings	226

	Page
Chapter 14 Involvement	228
Introduction	228
Defining Involvement	228
Processes of Involvement	230
The process of undertaking Involvement	232
The mobilisation process: CLAIMED	233
Partnership structure and process	238
Involvement methods, mechanisms, theories and evidence	238
The purposes of reporting Involvement in action descriptions	239
The structure and content of descriptions of Involvement	240
The content of Involvement	241
Partnership	241
Mobilisation	245
Climate-setting and consultation	248
-	250
Involvement: master-list of headings	230
Chapter 15 Impact – and process evaluation	254
Introduction	254
The scope of evaluation within 5Is	254
5Is and process evaluation	255
Process mapping	256
Achievement	256
Quality	257
The purposes of reporting process evaluation in action descriptions	257
Evaluation and evidence: the position on process evaluation methodology	258
The structure and content of descriptions of process evaluation	259
Illustrations of process evaluation descriptions	260
Process evaluation: master-list of headings	262
5Is and impact evaluation	263
The language and concepts of impact evaluation	264
A wider picture of performance: evaluation on multiple dimensions	265
The purposes of reporting impact evaluation in action descriptions	266
Decision-making on existing action	266

	Page
Local feedback	267
Accountability	267
Supporting impact evaluation itself	267
Failure analysis	267
Evaluation and evidence: the position on impact evaluation methodology	268
The structure of descriptions of Impact evaluation	269
Describing impact evaluation methodology, quality and independence	270
Illustrations of impact evaluation descriptions	270
Aims	271
Design	271
Overview of Implementation/Involvement	271
Outcome results	272
Mechanisms	272
Adverse side effects	273
Limitations and improvements	273
Cost effectiveness	273
Impact evaluation: master-list of headings	273
Chapter 16 Conclusion	278
Complexity and simplicity in crime prevention	278
	280
The process of knowledge capture: the practice of improving practice	
Existing experience of capture using 5Is	280
Further possibilities in knowledge capture methods	282
Who undertakes the capture, when, and how?	283
Selectivity – redundancy and quality	284
Mindset and culture of knowledge capture	285
Wider uses of 5Is	285
Appraisal and management of projects	285
Synthesis of principles and theories/ Complementing systematic reviews of evidence of impact	286
Education and training	286
Fostering communication and collaboration	288
Aiding comparison and transfer	288
For research	288
Failure mode analysis	289
Risk assessment	289

	Page
Wider crime prevention futures	289
Looking further ahead	290
Evaluating the impact of 5Is on performance	290
Making it happen	292
Complexity of 5Is	292
Investment	292
Incumbency	293
Reducing barriers to change	293
Final thoughts	294
Notes	295
References	298
Index	313